

NOVEMBER 1972



STATISTICAL BULLETIN

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D. C. 20549

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SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

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Total Effective Registrations	22
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PUBLICATION SCHEDULE OF SERIES APPEARING REGULARLY IN THE BULLETIN

24

ANNOUNCEMENTS AND SPECIAL NOTICES

The following revisions have been made in data published in previous Statistical Bulletins and are reflected in aggregates appearing on page 3 of this month's issue.

(All Data Are In Thousands)

<u>Data Month</u>	<u>Publication Month</u>	<u>Exchange</u>	<u>Data Item</u>	<u>Latest Revised Value</u>	<u>Previously Published Value</u>
Aug.	Oct.	American	Market Value of Stocks	\$1,675,062	\$1,671,780
Aug.	Oct.	American	Share Volume of Stocks	84,397	97,415
Aug.	Oct.	American	Total Market Value	\$ 58,397	\$ 58,721
July	Sept.	P-B-W	Market Value of Stocks	\$ 358,727	\$ 368,646
July	Sept.	P-B-W	Share Volume of Stocks	10,885	11,154
July	Sept.	P-B-W	Total Market Value	\$ 359,171	\$ 369,090
June	Aug.	Midwest	Market Value of Stocks	\$ 734,920	\$ 733,055
June	Aug.	Midwest	Share Volume of Stocks	19,212	19,161
June	Aug.	Midwest	Market Value of Bonds	\$ 39	\$ 1,903
June	Aug.	Midwest	Principal Value of Bonds	\$ 39	\$ 89
June	Aug.	Midwest	Total Market Value	No Change	

MARKET VALUE AND VOLUME OF SALES ON ALL REGISTERED SECURITIES EXCHANGES (ALL DATA ARE IN THOUSANDS)

		STOCKS			BONDS		WARRANTS		RIGHTS	
		TOTAL MARKET \$VALUE	\$VALUE	SHARES	\$MARKET	PRINCIPAL	\$VALUE	UNITS	\$VALUE	UNITS
1571	SEPTEMBER	13,685,220	12,833,500	393,207	758,107	860,878	93,613	24,096	NA	NA
1571	OCTOBER	13,876,672	12,993,681	403,083	773,186	851,321	109,805	17,008	NA	NA
1571	NOVEMBER	13,125,594	12,303,963	404,503	743,046	815,800	78,585	8,928	NA	NA
1571	DECEMBER	18,639,888	17,648,000	574,017	872,358	979,296	119,534	12,818	NA	NA
1572	JANUARY	17,995,392	16,872,256	547,112	963,656	1,011,886	159,293	14,870	199	136
1572	FEBRUARY	19,569,696	18,549,216	609,276	862,432	903,784	156,082	14,833	1,973	10,234
1572	MARCH	22,583,904	21,412,624	661,128	975,828	1,013,725	194,424	16,927	1,029	792
1572	APRIL	19,456,128	18,448,144	583,966	837,586	859,852	169,326	13,830	1,078	724
1572	MAY	17,989,920	17,093,200	506,609	775,979	807,230	117,646	10,999	3,091	14,226
1572	JUNE	17,672,032	16,742,137	506,443	801,186	840,790	126,770	11,685	1,949	8,905
1572	JULY	14,637,561	13,914,609	427,005	632,674	679,822	85,778	9,282	4,480	8,768
1572	AUGUST	18,419,872	17,596,016	524,595	723,487	775,829	99,191	10,300	1,182	3,918
1572	SEPTEMBER	12,783,353	12,182,759	366,792	525,258	580,918	70,374	7,948	4,962	10,911

BREAKDOWN FOR SEPTEMBER, 1972

* AMERICAN STOCK EXCHANGE	1,084,195	993,648	56,866	41,386	49,874	48,976	4,942	185	18
* BCSTON STOCK EXCHANGE	107,471	107,399	2,863	0	0	72	9	0	0
* CHICAGO BOARD OF TRADE	0	0	0	0	0	0	0	0	0
* CINCINNATI STOCK EXCHANGE	8,857	8,853	169	4	7	0	0	0	0
* DETROIT STOCK EXCHANGE	22,344	22,344	575	0	0	0	0	0	0
* MIDWEST STOCK EXCHANGE	535,042	534,585	14,623	48	87	25	3	385	302
* NATIONAL STOCK EXCHANGE	6,735	6,725	1,056	1	2	9	5	0	0
* NEW YORK STOCK EXCHANGE	10,170,003	9,668,780	263,683	481,756	527,596	18,360	2,530	1,107	4,371
* PACIFIC COAST STOCK EXCHANGE	517,853	510,352	16,641	2,044	3,336	2,191	365	3,266	5,964
* PHILA.-BALT.-WASH. STOCK EXCHANGE	330,095	329,317	9,261	18	17	742	94	19	257
* INTERMOUNTAIN STOCK EXCHANGE	111	111	225	0	0	0	0	0	0
* SPOKANE STOCK EXCHANGE	645	645	809	0	0	0	0	0	0

MARKET VALUE AND VOLUME OF SALES ON ALL EXEMPTED SECURITIES EXCHANGES (ALL DATA ARE IN THOUSANDS)

1972	SEPTEMBER	296	296	45	+	+	0	0	0	0
BREAKDOWN FOR SEPTEMBER, 1972										
HONOLULU STOCK EXCHANGE										
1972	SEPTEMBER	296	296	45	+	+	0	0	0	0

NA = NOT AVAILABLE

R = REVISION OF PREVIOUSLY PUBLISHED DATA

* = GREATER THAN ZERO BUT LESS THAN 500

SEE EXPLANATORY NOTES ON PAGE 12

ROUND-LOT TRANSACTIONS ON THE NEW YORK STOCK EXCHANGE (IN SHARES)

TRANSACTIONS OF SPECIALISTS (EXCEPT
FOR THE ODD-LOT ACCOUNT) IN STOCKS
IN WHICH THEY ARE REGISTERED

ROUND-LOT TRANSACTIONS FOR
THE ODD-LOT ACCOUNT OF THE
ODD-LOT DEALER

ALL ROUND-LOT SALES

TRADE DATE	PURCHASES		SALES		PURCHASES		SALES	
	TOTAL	SHORT	TOTAL	SHORT	TOTAL	SHORT	TOTAL	SHORT
SEPTEMBER 19	9,006,980	448,930	1,349,570	268,480	66,260	0	173,500	0
19	13,666,230	646,490	1,828,150	338,140	67,040	0	235,300	0
20	12,235,170	669,520	1,698,330	241,750	192,480	0	192,480	0
21	12,276,740	693,260	1,606,540	362,350	51,000	0	217,580	0
22	12,813,060	764,170	1,710,610	369,550	72,330	0	217,540	0
TOTAL FOR THE WEEK	60,002,180	3,224,370	8,193,610	1,580,660	319,380	0	1,036,400	0
SEPTEMBER 25	11,161,100	698,080	1,513,850	310,220	57,530	0	223,990	0
26	13,481,680	856,190	2,099,150	401,770	81,440	0	247,080	0
27	11,765,710	913,250	2,180,700	489,560	107,900	0	182,740	0
28	11,863,880	970,710	2,334,380	542,920	73,720	0	249,420	0
29	16,336,230	1,042,720	2,136,960	566,410	76,010	0	263,690	0
TOTAL FOR THE WEEK	70,608,600	4,480,950	10,262,950	2,310,880	396,600	0	1,166,920	0
OCTOBER 2	12,546,020	663,440	1,822,510	364,940	87,320	0	194,750	0
3	13,357,060	683,950	1,811,920	356,600	86,330	0	226,710	0
4	17,094,870	930,210	2,251,830	508,710	71,620	0	271,020	0
5	17,923,710	1,005,190	2,469,920	465,420	85,230	0	228,610	0
6	16,799,740	1,231,210	2,611,290	572,700	118,340	0	211,040	0
TOTAL FOR THE WEEK	77,721,400	4,514,000	10,973,470	2,268,460	446,840	0	1,132,130	0
OCTOBER 9	8,020,520	588,480	1,154,900	294,450	65,400	0	134,150	0
10	13,420,840	924,960	2,181,900	506,280	75,920	0	207,810	0
11	11,988,130	741,380	1,937,730	356,150	71,910	0	200,440	0
12	13,204,610	691,820	1,790,530	400,740	65,210	0	202,280	0
13	12,930,270	699,390	1,691,110	398,840	66,830	0	224,600	0
TOTAL FOR THE WEEK	59,564,370	3,646,030	8,883,400	1,956,460	344,270	0	969,280	0
OCTOBER 16	11,021,560	715,940	1,632,220	323,360	59,140	0	236,610	0
17	13,565,820	823,490	1,952,340	397,500	86,030	0	213,790	0
18	17,382,080	1,193,980	2,536,230	658,450	94,910	0	211,030	0
19	14,015,320	986,120	1,940,500	542,480	74,200	0	198,530	0
20	15,867,410	1,293,730	2,566,010	618,680	109,350	0	205,290	0
TOTAL FOR THE WEEK	71,852,190	5,013,260	10,627,300	2,540,420	421,630	0	1,065,250	0

ROUND-LOT TRANSACTIONS ON THE NEW YORK STOCK EXCHANGE

ROUND-LOT TRANSACTIONS ON THE NEW YORK STOCK EXCHANGE (IN SHARES)

OTHER MEMBER TRANSACTIONS INITIATED ON THE FLOOR				OTHER MEMBER TRANSACTIONS INITIATED OFF THE FLOOR				TRANSACTIONS FOR ACCOUNTS OF NON-MEMBERS			
TRADE DATE	SALES			PURCHASES	SALES			PURCHASES	SALES		
	TOTAL	SHORT			TOTAL	SHORT			TOTAL	SHORT	
SEPTEMBER 18	41,500	25,120	400	822,210	820,642	98,850		6,727,440	6,746,068	90,800	
19	61,700	86,100	11,400	1,450,789	1,209,321	150,950		10,218,141	10,245,319	144,000	
20	80,900	61,900	3,900	1,073,830	1,318,490	264,350		9,323,360	8,999,740	159,530	
21	43,300	57,900	12,100	1,050,710	1,103,335	180,200		9,525,190	9,047,185	138,610	
22	83,700	82,400	14,100	1,006,260	1,146,504	232,120		9,940,140	9,548,116	152,400	
TOTAL FOR THE WEEK	311,100	313,420	41,900	5,443,799	5,598,292	916,470		45,734,291	44,586,428	685,340	
SEPTEMBER 25	59,200	116,400	5,100	1,190,490	1,004,576	270,410		8,340,030	8,272,774	112,950	
26	129,700	88,700	19,300	1,243,970	1,180,896	246,440		9,927,410	9,995,394	188,680	
27	101,800	100,700	4,100	1,514,595	1,295,625	255,270		10,860,715	10,780,015	165,320	
28	78,000	90,700	10,000	1,142,450	1,230,432	241,000		11,235,330	11,007,048	176,790	
29	82,800	119,100	22,000	1,311,971	1,395,132	287,160		12,730,789	12,076,008	167,150	
TOTAL FOR THE WEEK	451,300	515,600	60,500	6,403,476	6,106,651	1,290,260		53,094,274	52,131,239	810,290	
OCTOBER 2	62,700	102,000	13,200	1,047,910	904,465	146,600		9,505,580	9,644,565	138,700	
3	98,200	52,200	6,300	1,014,955	936,100	131,200		10,347,655	10,406,770	189,760	
4	135,200	131,300	9,600	1,094,905	1,428,315	155,920		13,541,715	12,811,645	216,180	
5	105,100	140,300	14,200	2,613,385	2,239,385	249,770		12,650,075	13,044,095	275,800	
6	128,600	124,050	21,200	1,204,105	1,569,813	303,880		12,647,405	12,063,437	333,430	
TOTAL FOR THE WEEK	529,800	549,850	64,300	7,078,860	7,278,078	1,027,370		58,492,430	57,970,512	1,153,870	
OCTOBER 9	27,000	34,000	3,100	608,675	980,970	169,700		6,164,545	5,514,280	121,230	
10	45,900	91,900	12,700	1,221,322	1,332,187	268,300		10,123,118	9,607,043	137,680	
11	66,200	66,000	17,000	811,106	1,251,042	173,000		9,101,184	8,802,878	185,230	
12	78,900	56,300	13,400	1,218,672	801,328	133,900		10,028,498	10,264,172	143,780	
13	39,200	74,100	8,600	1,060,931	1,059,520	137,160		10,073,199	9,685,970	154,770	
TOTAL FOR THE WEEK	257,200	322,300	54,800	4,920,706	5,515,047	892,060		45,490,744	43,874,343	742,490	
OCTOBER 16	49,400	81,900	15,200	757,382	1,088,650	205,390		8,565,618	7,982,180	171,990	
17	61,400	82,600	16,300	1,422,960	1,109,872	230,400		10,043,650	10,207,218	179,290	
18	76,200	106,900	29,300	2,130,461	1,612,795	280,400		12,733,109	12,915,125	225,830	
19	89,500	94,000	9,600	1,235,435	1,453,721	198,600		10,750,494	10,341,569	235,440	
20	100,700	112,900	25,400	1,189,150	1,458,000	342,100		11,987,420	11,525,210	267,600	
TOTAL FOR THE WEEK	357,200	465,300	95,800	6,735,389	6,723,038	1,296,890		54,080,291	52,971,302	1,080,150	

SEE EXPLANATORY NOTES ON PAGE 9

**ODD-Lot CUSTOMERS' PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS**

NAME OF STOCK	WEEK ENDED October 6, 1972		WEEK ENDED October 13, 1972		WEEK ENDED October 20, 1972		WEEK ENDED October 27, 1972		WEEK ENDED November 3, 1972	
	Purchases	Sales	Purchases	Sales	Purchases	Sales	Purchases	Sales	Purchases	Sales
Admiral Corporation	458	474	298	327	300	460	1,137	675	585	424
Allied Chemical Corporation	883	2,850	1,228	3,372	1,260	2,537	2,042	3,249	969	3,909
Allis Chalmers Corp.	595	836	545	783	486	971	803	893	591	1,327
Aluminum Co. of America	233	1,466	652	1,348	561	1,356	67	996	630	1,309
American Airlines, Inc.	5,310	2,571	2,646	1,381	2,027	1,547	4,271	2,867	2,334	2,996
American Brands, Inc.	2,811	2,280	2,070	2,615	1,689	3,160	1,659	3,094	1,828	3,619
American Can Company	3,006	4,014	2,503	3,136	2,708	4,765	2,945	3,118	4,257	5,046
American Cyanamid Co.	1,109	4,051	897	2,646	1,214	3,666	1,448	3,094	1,234	3,956
American Motors Corp.	1,692	2,923	1,837	2,548	2,971	2,539	2,199	3,706	2,205	4,448
American Tel. & Tel. Co.	25,467	67,764	17,020	52,687	18,098	53,019	2,279	53,330	18,824	48,914
AMF Incorporated	1,060	1,562	1,446	932	1,632	1,511	2,627	1,653	1,387	1,450
Ampex Corporation	2,476	1,937	2,440	2,208	1,015	2,170	2,284	2,196	5,390	2,871
Anaconda Company	967	4,249	417	3,871	699	4,060	89	4,481	1,797	7,143
Apco Corporation	1,135	1,344	724	728	942	909	655	829	914	1,382
Avco Corporation	1,071	1,928	812	2,069	803	2,910	642	1,807	809	2,906
Avnet, Inc.	1,327	1,780	1,096	1,186	752	1,325	1,503	1,561	1,092	2,264
Bethlehem Steel Corporation	2,056	4,835	1,999	4,191	2,604	4,971	4,804	4,788	4,994	4,354
Boeing Company	3,062	3,029	2,830	3,442	2,604	3,150	1,805	4,030	2,350	4,018
Brunswick Corporation	1,628	1,737	2,322	1,794	4,021	2,883	2,483	1,270	1,713	1,273
Burroughs Corporation	1,988	4,088	2,348	2,909	3,114	3,910	3,311	5,559	3,044	5,441
Chrysler Corporation	3,180	7,217	2,865	6,692	3,792	7,943	9,256	12,373	9,458	25,472
Columbia Gas System, Inc.	1,658	2,157	1,846	2,491	1,611	2,581	1,905	3,182	1,641	2,952
Commonwealth Oil Refining Co.	936	1,020	313	1,463	299	884	2,001	1,948	884	1,718
Communications Satellite Corp.	508	2,791	296	1,923	480	2,258	677	2,404	442	2,353
Consolidated Edison Co. of N.Y.	4,332	6,118	3,220	6,399	3,491	7,055	3,491	6,910	4,280	7,862
Continental Air Lines, Inc.	2,737	1,805	557	1,342	563	1,354	1,569	2,287	752	3,956
Control Data Corporation	1,254	4,174	3,679	9,641	2,396	4,031	2,503	3,629	5,215	6,566
Dart Industries, Inc.	190	1,020	165	1,499	288	2,227	416	1,886	438	2,253
Dow Chemical Company	1,018	4,867	672	3,953	1,310	4,311	891	5,277	1,120	9,959
du Pont de Nemours (E.I.) & Co.	1,925	5,517	1,238	4,349	1,304	4,905	1,889	4,932	2,570	6,006
Eastern Air Lines, Inc.	4,428	2,613	1,881	1,824	2,285	2,279	3,722	2,760	2,427	2,831
Eastman Kodak Company	12,102	15,794	10,822	11,871	11,796	10,838	12,289	11,977	15,015	23,313
Fairchild Camera & Instrument	3,316	4,753	1,028	2,702	1,150	4,228	6,313	11,838	4,353	5,531
Fairchild Industries, Inc.	275	656	284	421	151	718	39	316	276	798
Ford Motor Company	3,769	7,232	3,505	6,693	3,509	5,489	3,586	7,489	5,193	17,908
GEA Corporation	902	2,308	1,569	2,403	1,540	3,086	168	2,880	1,283	3,113
General Dynamics Corp.	439	1,750	299	1,381	372	1,702	575	1,173	289	2,178
General Electric Company	4,053	12,051	5,748	8,129	6,389	10,225	6,646	12,764	7,474	12,006
General Foods Corporation	3,830	4,776	4,264	3,996	4,521	4,686	4,393	5,659	4,585	6,802
General Motors Corporation	9,728	30,971	10,668	23,483	16,669	23,431	11,748	24,435	11,030	34,273
Gen'l Tel. & Electronics Corp.	9,886	14,903	5,988	10,457	3,177	14,266	4,286	15,739	3,048	24,418
Goodyear Tire & Rubber Co.	1,672	2,983	1,547	4,702	1,990	2,786	3,553	4,805	2,610	5,102
Great Western Financial Corp.	361	2,027	430	1,098	317	1,189	734	1,386	125	1,747
Gulf Oil Corporation	30,309	11,277	19,561	11,616	15,791	11,948	18,791	16,757	19,761	19,738
Gulf & Western Industries	485	2,594	1,099	1,913	804	2,161	2,566	1,695	1,848	3,012
High Voltage Engineering Co.	276	257	860	333	524	306	257	424	336	335
Honeywell, Inc.	5,113	4,671	4,083	2,980	3,634	5,130	3,301	8,168	2,762	5,807
Int'l Business Machines Corp.	19,039	21,080	30,860	24,241	47,192	74,937	23,142	22,687	24,165	22,080
International Harvester Co.	1,957	3,825	1,485	2,568	2,162	3,708	2,621	4,012	3,464	4,733
Int'l Nickel Co. of Canada	328	2,822	242	2,455	258	3,370	456	2,983	464	3,486

(Continued)

**ODD-Lot CUSTOMERS' PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS (Continued)**

NAME OF STOCK	WEEK ENDED October 6, 1972		WEEK ENDED October 13, 1972		WEEK ENDED October 20, 1972		WEEK ENDED October 27, 1972		WEEK ENDED November 3, 1972	
	Purchases	Sales	Purchases	Sales	Purchases	Sales	Purchases	Sales	Purchases	Sales
International Paper Company	838	2,892	970	2,799	859	2,587	892	3,627	517	3,215
Itek Corporation	3,525	2,195	1,467	1,710	1,165	1,255	1,809	1,980	1,175	2,997
Johns-Manville Corp.	1,106	1,044	1,511	1,360	1,062	1,723	1,234	1,368	1,140	1,402
Lear Siegler, Inc.	808	1,557	903	1,130	626	972	741	1,220	335	1,436
Litton Industries, Inc.	2,157	5,879	2,996	6,172	2,708	5,989	2,773	4,612	3,321	8,103
LTV Corp.	961	1,153	534	727	1,059	860	493	1,635	756	1,596
Magnavox Company	5,040	1,592	3,983	1,660	4,059	1,631	4,723	2,195	2,787	1,858
Marcor, Inc.	738	1,712	1,005	1,551	1,395	856	1,394	1,564	1,280	1,711
Martin-Marietta Corp.	618	2,268	495	1,369	1,562	1,166	1,439	1,215	1,053	2,113
McDonnell Douglas Corp.	1,031	4,494	665	3,263	530	4,612	963	4,812	1,070	4,365
Minnesota Mining & MFG. Co.	3,777	4,513	4,262	2,837	4,560	2,847	5,506	5,547	5,332	4,944
Monsanto Chemical Company	2,255	3,199	2,143	3,531	2,648	3,836	2,697	3,942	3,071	3,831
Motorola, Inc.	1,430	1,128	776	634	1,276	864	2,225	2,195	2,137	3,568
North American Rockwell Corp.	1,004	3,200	513	3,046	871	4,253	1,225	4,030	1,090	3,431
Occidental Petroleum Co.	3,719	7,259	2,886	6,842	3,251	8,808	4,933	8,466	3,545	8,432
Owens-Illinois, Inc.	1,016	1,589	994	967	791	861	804	1,806	640	1,855
Pan American World Airways	2,305	3,228	1,573	3,114	1,879	3,132	6,165	2,186	3,194	3,984
Pasco, Inc.	110	259	18	441	150	352	4	414	125	434
Penn Central Company	577	2,554	749	3,212	911	2,903	547	3,478	1,087	3,951
Phillips Petroleum Co.	1,881	7,275	1,684	8,402	1,515	6,087	2,301	5,567	1,883	7,067
Polaroid Corporation	3,624	5,979	6,373	5,536	9,383	8,068	11,531	14,007	8,980	8,358
Procter & Gamble Co.	1,732	2,945	2,128	3,300	1,880	2,770	176	3,179	4,649	6,763
Raytheon Company	1,473	1,488	1,188	738	1,236	919	892	1,097	1,603	812
RCA Corporation	2,861	9,538	2,693	9,307	4,832	9,726	4,255	10,843	5,490	12,800
Reichhold Chemicals, Inc.	568	1,148	358	474	888	644	65	657	894	835
Reynolds (R.J.) Tobacco Co.	2,932	2,355	5,325	3,610	3,604	3,437	3,567	2,748	2,791	2,715
Santa Fe Industries	1,245	1,596	888	1,781	1,544	2,592	1,377	1,873	1,241	2,218
SCM Corporation	1,005	2,490	903	2,110	1,223	2,642	1,458	2,293	2,126	4,075
Sears, Roebuck & Co.	7,790	8,720	7,071	5,692	8,534	5,845	8,356	8,210	14,072	13,087
Singer Company	637	1,739	1,070	2,430	1,015	1,920	1,059	1,957	1,081	3,318
Sperry Rand Corporation	1,274	5,013	1,986	5,209	2,062	6,294	3,722	5,217	3,358	9,527
Standard Oil Co. of California	2,144	25,652	2,455	18,941	2,265	17,260	2,626	17,327	2,808	18,129
Standard Oil Co. (N.J.)*	10,302	37,554	6,503	21,281	6,970	26,742	7,139	31,784	6,494	31,207
Swift & Company	290	906	292	1,067	290	1,321	471	1,307	512	2,143
Teledyne, Inc.	2,124	2,038	2,413	2,488	2,645	7,221	1,928	5,216	1,618	3,338
Tenneco, Inc.	2,908	4,438	2,432	5,464	3,555	6,090	4,765	12,083	5,616	11,135
Texaco Inc.	6,570	19,896	6,867	10,033	5,197	12,686	8,161	29,337	5,836	16,698
Texas Gulf Sulphur Co.	394	3,152	451	2,979	557	3,045	763	3,213	550	4,984
Texas Instruments, Inc.	1,215	1,449	1,809	1,017	2,144	1,125	1,552	1,476	2,025	1,275
Thiokol Chemical Corp.	642	882	869	811	642	920	1,051	568	1,151	1,506
Trans World Airlines, Inc.	1,534	2,633	1,198	1,107	1,292	1,162	1,361	1,640	894	1,760
Union Carbide Corp.	2,356	5,486	2,919	5,088	3,066	5,014	3,696	4,425	3,783	6,314
United Aircraft Corp.	3,600	1,928	2,990	2,335	1,506	1,934	959	2,677	1,894	3,736
United Nuclear Corp.	511	417	1,340	426	469	433	645	1,105	538	765
U.S. Steel Corporation	1,873	7,339	3,520	6,449	3,504	6,423	330	6,657	3,573	7,580
Warner Lambert Co.	1,378	4,396	1,852	4,406	1,258	4,266	1,814	4,279	2,543	8,074
Western Union Corporation	1,527	1,500	738	1,446	1,103	2,108	1,414	1,668	831	1,523
Westinghouse Electric Corp.	5,413	4,918	5,552	5,618	3,157	5,392	3,535	6,096	3,456	5,678
Woolworth (F.W.) Co.	875	3,956	846	3,025	1,324	2,805	181	2,650	2,841	4,293
Xerox Corporation	6,206	7,573	4,833	5,906	7,133	7,971	7,017	9,974	6,929	11,851

* Exxon Corporation -- formerly Standard Oil of New Jersey prior to November 1, 1972.

See Explanatory Notes, Page 9

ODD-LOT TRANSACTIONS ON THE NEW YORK STOCK EXCHANGE

PURCHASES BY CUSTOMERS FROM THE SOLE ODD-LOT DEALER				SALES BY CUSTOMERS TO THE SOLE ODD-LOT DEALER				SHORT SALES BY CUSTOMERS			
TRADE DATE	SHARE VOLUME	MARKET VALUE	AVERAGE PRICE	SHARE VOLUME	MARKET VALUE	AVERAGE PRICE	SHARE VOLUME	MARKET VALUE	AVERAGE PRICE	SHARE VOLUME	MARKET VALUE
SEPTEMBER 25	208,999	\$ 9,097,104	\$ 43.53	369,191	\$ 15,673,208	\$ 42.45	2,901	\$ 158,071	\$ 54.49		
26	212,961	9,455,519	\$ 44.40	379,481	16,359,898	\$ 43.11	4,676	274,237	\$ 58.65		
27	221,147	10,568,398	\$ 47.79	366,549	15,702,933	\$ 42.84	4,400	272,302	\$ 61.89		
28	229,985	11,523,160	\$ 50.10	381,273	17,230,207	\$ 45.19	3,234	217,826	\$ 67.35		
29	245,255	11,973,250	\$ 48.82	393,774	17,821,358	\$ 45.26	3,035	185,138	\$ 61.00		
TOTAL FOR THE WEEK	1,118,347	\$52,617,431	\$ 47.05	1,890,268	\$ 82,787,604	\$ 43.80	18,246	\$1,107,574	\$ 60.70		
OCTOBER 2	240,811	\$10,733,766	\$ 44.57	368,445	\$ 16,701,682	\$ 45.33	2,499	\$ 174,663	\$ 69.89		
3	244,847	10,481,486	\$ 42.81	386,999	17,732,458	\$ 45.82	4,379	226,522	\$ 51.73		
4	243,029	10,811,866	\$ 44.49	415,895	19,074,136	\$ 45.86	4,847	309,132	\$ 63.78		
5	242,532	11,325,818	\$ 46.70	388,928	18,261,843	\$ 46.95	7,536	555,267	\$ 73.68		
6	267,448	13,012,276	\$ 48.65	374,711	17,448,747	\$ 46.57	7,842	518,109	\$ 66.07		
TOTAL FOR THE WEEK	1,238,667	\$56,365,012	\$ 45.50	1,934,978	\$ 89,218,866	\$ 46.11	27,103	\$1,783,693	\$ 65.81		
OCTOBER 9	180,602	\$ 7,817,644	\$ 43.29	239,943	\$ 9,930,794	\$ 41.39	2,955	\$ 192,504	\$ 65.15		
10	233,760	11,436,663	\$ 48.92	366,563	16,058,498	\$ 43.81	3,424	228,795	\$ 66.82		
11	221,895	11,836,486	\$ 53.34	344,988	16,503,022	\$ 47.84	3,643	292,509	\$ 80.29		
12	204,484	10,184,373	\$ 49.81	352,749	16,600,941	\$ 47.06	6,120	499,506	\$ 81.62		
13	214,347	11,684,563	\$ 54.51	342,004	16,299,648	\$ 47.66	5,157	443,337	\$ 85.97		
TOTAL FOR THE WEEK	1,055,088	\$52,959,729	\$ 50.19	1,646,247	\$ 75,392,903	\$ 45.80	21,299	\$1,656,651	\$ 77.78		
OCTOBER 16	219,252	\$11,040,745	\$ 50.36	380,742	\$ 22,436,328	\$ 58.93	6,378	\$ 722,984	\$113.36		
17	233,088	14,820,546	\$ 63.58	381,150	27,400,170	\$ 71.89	5,795	689,432	\$118.97		
18	250,843	13,952,805	\$ 55.62	352,399	16,315,596	\$ 46.30	5,808	461,037	\$ 79.38		
19	221,294	10,616,304	\$ 47.97	339,789	14,757,517	\$ 43.43	5,058	379,103	\$ 74.95		
20	246,504	11,800,286	\$ 48.26	361,816	16,305,877	\$ 45.07	6,487	464,985	\$ 71.68		
TOTAL FOR THE WEEK	1,168,981	\$62,230,686	\$ 53.23	1,815,896	\$ 97,215,488	\$ 53.54	29,526	\$2,717,541	\$ 92.04		
OCTOBER 23	263,499	\$12,743,328	\$ 48.36	325,564	\$ 15,676,202	\$ 48.15	4,575	\$ 372,019	\$ 81.32		
24	248,633	11,323,233	\$ 45.54	414,432	19,568,120	\$ 47.22	4,998	374,401	\$ 74.91		
25	237,830	10,512,786	\$ 44.20	434,764	20,537,063	\$ 47.24	3,678	268,057	\$ 72.88		
26	308,926	14,974,215	\$ 48.47	452,844	20,695,384	\$ 45.70	5,451	410,213	\$ 75.25		
27	259,087	11,649,912	\$ 44.97	354,261	15,868,659	\$ 44.79	3,970	292,186	\$ 73.60		
TOTAL FOR THE WEEK	1,317,975	\$61,203,474	\$ 46.44	1,981,865	\$ 92,345,428	\$ 46.60	22,672	\$1,716,876	\$ 75.73		

SEE EXPLANATORY NOTES ON PAGE 9

Round-Lot and Odd-Lot Stock Transactions

EXPLANATORY NOTES

Total round-lot transactions reported in these tables include all stock transactions, effected in round lots, excluding transactions in rights. Warrants traded on the American Stock Exchange only have been included since October, 1966; and on the New York Stock Exchange they have been included since January, 1972. Round-lot transactions are transactions in the unit of trading or multiples thereof; odd-lot transactions are those involving a number of shares less than the unit of trading. On the New York Stock Exchange, the unit of trading for most stocks is 100 shares, while some less active stocks have a 10-share unit. On the American Stock Exchange, the unit of trading for a given issue may be 100 shares, 50 shares, 25 shares, or 10 shares. All sales totals in these tables include short sales. Short sales include only those short sales which are restricted under the rules; "exempt" short sales are not included. The term "members" includes regular and associate exchange members, exchange member firms, general, special and limited partners in member firms, and voting and nonvoting stockholders in member corporations.

Round-Lot Stock Transactions on the New York Stock Exchange.

Total round-lot sales on the New York Stock Exchange are slightly larger than the round-lot volume reported on the ticker tape of that exchange. The difference between total round-lot sales and "reported" volume currently averages less than 2 percent on a weekly basis.

On the New York Stock Exchange, the transactions of specialists in the stocks in which they are registered include all round-lot transactions in an issue by a member for his own account or his firm's account while the member was acting as specialist in that issue. Transactions for the odd-lot account are excluded, as are transactions for the member's account in issues other than those in which he was acting as specialist. For specialists who also act as odd-lot dealers in certain issues, round-lot trades for odd-lot accounts are included with round-lot trades of odd-lot dealers in the succeeding columns. To obtain total-activity data for odd-lot dealers, it is necessary to combine the odd-lot figures and the odd-lot dealers' round-lot figures from the two New York Stock Exchange tables.

Odd-Lot Customers' Transactions in 100 Selected Stocks.

The odd-lot series consisting of weekly totals of customers' odd-lot purchases and sales in 100 selected stocks listed on the New York Stock Exchange is furnished to the Commission by one odd-lot dealer firm. At present, the 100 stocks, which include the 30 stocks in the Dow-Jones Industrial Average and other market leaders, represent approximately one-third of total odd-lot customers' purchases and sales. The list of stocks is subject to change from time to time.

Round-Lot and Odd-Lot Stock Transactions on the American Stock Exchange.

Total round-lot sales on the American Stock Exchange differ from the round-lot volume on that exchange reported on the ticker because the ticker or "reported" volume includes the round-lot sales of rights and fails to include certain classes of round-lot stock transactions.

On the American Stock Exchange, round-lot transactions of specialists in stocks in which they are registered are not directly comparable with the round-lot trades of specialists on the New York Stock Exchange, since on the American Stock Exchange odd-lot transactions are handled solely by specialists, and the round-lot transactions of specialists resulting from such odd-lot transactions are not segregated from the specialists' other round-lot trades.

ROUND-LOT AND ODD-LOT TRANSACTIONS ON THE AMERICAN STOCK EXCHANGE
(IN SHARES)

ALL ROUND-LOT SALES				ROUND-LOT TRANSACTIONS OF SPECIALISTS IN STOCKS IN WHICH THEY ARE REGISTERED				OTHER MEMBER ROUND-LOT TRANSACTIONS INITIATED ON THE FLOOR			
TRADE DATE	TOTAL		SHORT	PURCHASES		SALES		PURCHASES		SALES	
SEPTEMBER 18	1,786,445		66,540	272,320	314,235	24,500		9,800	8,200	2,300	
19	2,572,280		112,450	334,810	456,445	42,500		19,000	28,200	10,100	
20	3,064,520		129,800	433,195	372,000	37,200		68,400	30,200	7,000	
21	2,742,655		110,400	439,410	453,720	40,800		22,200	25,400	4,900	
22	2,817,980		123,550	387,460	483,715	43,300		12,000	26,900	9,500	
TOTAL FOR THE WEEK	12,983,880		542,740	1,867,195	2,104,950	188,300		131,400	118,900	33,800	
SEPTEMBER 25	2,405,720		85,350	367,100	404,110	38,250		23,100	24,300	5,900	
26	2,633,500		133,450	375,910	416,055	37,430		42,300	43,700	18,400	
27	3,028,450		124,230	419,355	531,180	62,000		31,200	25,200	9,300	
28	3,538,260		128,850	638,075	611,690	54,520		38,700	38,100	9,800	
29	3,566,565		140,675	519,575	686,200	65,450		29,700	52,900	13,600	
TOTAL FOR THE WEEK	15,172,495		612,555	2,320,015	2,649,235	257,650		165,000	184,200	57,000	
OCTOBER 2	2,456,275		109,400	413,960	397,860	37,500		24,500	31,400	15,200	
3	2,755,910		110,210	410,855	401,820	44,710		39,700	32,300	13,300	
4	3,405,230		162,800	488,285	586,320	72,500		42,600	40,100	9,000	
5	3,214,120		187,300	547,225	520,770	53,900		41,300	52,300	15,700	
6	3,501,725		206,100	539,585	598,130	65,900		55,600	72,300	32,400	
TOTAL FOR THE WEEK	15,333,260		775,810	2,399,910	2,504,800	274,510		203,700	228,400	85,600	
OCTOBER 9	2,097,430		133,760	297,850	377,825	38,100		27,800	31,200	18,700	
10	3,551,595		117,525	480,830	517,415	54,225		37,500	45,300	21,100	
11	2,474,775		79,600	402,430	365,650	32,020		35,500	19,100	8,000	
12	2,608,505		99,700	418,775	351,250	39,700		27,600	24,200	5,400	
13	2,395,470		98,500	337,715	365,700	27,000		33,300	17,000	1,900	
TOTAL FOR THE WEEK	13,127,775		529,085	1,937,600	1,977,840	191,045		161,700	136,800	55,100	
OCTOBER 16	2,259,900		80,600	369,610	366,610	34,300		38,400	22,700	1,300	
17	3,050,730		120,000	413,555	500,955	50,300		31,400	30,100	6,700	
18	3,008,725		127,230	428,250	497,260	51,480		44,200	48,300	10,500	
19	2,734,910		105,500	401,620	441,120	44,500		26,400	27,300	5,900	
20	3,357,810		180,250	484,000	641,405	79,200		47,200	47,200	14,500	
TOTAL FOR THE WEEK	14,412,075		613,580	2,096,035	2,447,350	259,780		187,600	175,600	38,900	

(CONTINUED)

ROUND-LOT AND ODD-LOT TRANSACTIONS ON THE AMERICAN STOCK EXCHANGE (IN SHARES)

ROUND-LOT TRANSACTIONS INITIATED OFF THE FLOOR				ROUND-LCT TRANSACTIONS FOR ACCOUNTS OF NON-MEMBERS				ODD-LOT CUSTOMERS TRANSACTIONS			
TRADE DATE	PURCHASES		SALES		PURCHASES	SALES		PURCHASES	SALES		
	TOTAL	SHORT	TOTAL	SHORT		TOTAL	SHORT		TOTAL	SHORT	
SEPTEMBER 18	115,933	85,600	5,100	1,388,392	1,378,410	34,640	27,148	45,345	0		
19	135,200	136,820	13,600	2,083,270	1,950,815	46,250	39,878	57,071	75		
20	179,250	169,300	25,500	2,383,675	2,468,185	6,100	37,684	60,230	0		
21	161,100	223,450	27,500	2,119,945	2,040,085	37,200	39,628	58,962	75		
22	163,825	207,956	23,000	2,254,695	2,099,409	47,750	37,750	57,493	0		
TOTAL FOR THE WEEK	755,308	823,126	94,700	10,222,977	9,936,904	22,940	182,118	279,101	150		
SEPTEMBER 25	134,454	171,520	17,700	1,881,066	1,805,790	23,500	40,418	60,656	50		
26	223,910	163,415	20,400	1,991,380	2,010,330	57,220	35,953	59,590	125		
27	267,670	189,696	24,500	2,310,225	2,282,374	28,430	39,865	61,518	0		
28	314,220	261,317	16,300	2,547,265	2,627,153	48,230	44,088	56,467	130		
29	256,755	350,090	34,700	2,720,535	2,477,375	26,925	48,227	64,233	0		
TOTAL FOR THE WEEK	1,237,009	1,136,038	113,600	11,450,471	11,203,022	184,305	208,551	302,564	305		
OCTOBER 2	278,560	286,682	29,400	1,739,255	1,760,233	27,300	35,528	55,357	0		
3	227,900	226,685	20,200	2,077,455	2,095,305	32,000	36,020	59,990	0		
4	316,190	290,654	26,900	2,558,155	2,488,156	54,400	44,184	63,982	0		
5	220,321	327,508	44,700	2,405,274	2,313,542	73,000	45,089	63,038	0		
6	299,400	259,391	33,400	2,607,140	2,571,904	74,400	50,275	59,344	50		
TOTAL FOR THE WEEK	1,342,371	1,370,920	154,600	11,387,279	11,229,140	281,100	211,096	301,711	50		
OCTOBER 9	157,400	157,310	42,460	1,614,380	1,531,095	34,500	32,704	43,322	0		
10	267,100	205,700	37,700	2,746,165	2,783,180	4,500	43,080	56,993	0		
11	171,060	182,937	18,900	1,865,785	1,907,068	20,680	36,770	58,328	0		
12	169,132	191,000	14,500	1,992,998	2,052,055	40,100	34,021	57,175	0		
13	163,120	175,000	36,100	1,861,335	1,837,770	33,500	33,506	48,788	0		
TOTAL FOR THE WEEK	947,812	901,967	149,660	10,080,663	10,111,168	133,280	180,081	264,606	0		
OCTOBER 16	144,350	122,270	10,400	1,708,540	1,748,320	34,600	36,933	56,680	0		
17	210,950	217,503	32,000	2,394,825	2,302,172	31,000	35,501	58,999	0		
18	252,375	199,870	26,900	2,283,900	2,263,295	38,350	38,397	58,244	0		
19	252,000	201,160	20,000	2,054,890	2,065,330	35,100	37,120	59,907	0		
20	333,400	201,665	31,100	2,493,210	2,467,540	55,450	41,442	67,736	0		
TOTAL FOR THE WEEK	1,193,075	942,468	120,400	10,935,365	10,846,657	194,500	189,393	301,466	0		

SEE EXPLANATORY NOTES ON PAGE 9

EXPLANATORY NOTES

Market Value and Volume of Sales on Registered and Exempted Securities Exchanges

The data presented in this table are of two types depending upon the method of aggregation used by each exchange. Reports of those exchanges marked with an asterisk cover transactions cleared during the calendar month; clearances occur for the most part within five days of the execution of a trade. Reports for other exchanges cover transactions effected on trade dates falling within the report month. The variance introduced by these two different methods of aggregating the data is not considered to be significant and accordingly all registered exchanges are aggregated and reported in monthly summaries.

Stock data include voting trust certificates, certificates of deposit for stocks and American Depository Receipts for stocks.

Bond data have excluded transactions covering United States Government issues since March 1944.

Warrants data include trading in rights for all periods prior to January, 1972.

REVISIONS

The following revisions have been made in data published in previous Statistical Bulletins and are reflected in aggregates appearing on page 3 of this month's issue.

(ALL DATA ARE IN THOUSANDS)

<u>Data Month</u>	<u>Publication Month</u>	<u>Exchange</u>	<u>Data Item</u>	<u>Latest Revised Value</u>	<u>Previously Published Value</u>
Aug.	Oct.	American	Market Value of Stocks	\$1,675,062	\$1,671,780
Aug.	Oct.	American	Share Volume of Stocks	84,397	97,415
Aug.	Oct.	American	Total Market Value	\$ 58,397	\$ 58,721
July	Sept.	P-B-W	Market Value of Stocks	\$ 358,727	\$ 368,646
July	Sept.	P-B-W	Share Volume of Stocks	10,885	11,154
July	Sept.	P-B-W	Total Market Value	\$ 359,171	\$ 369,090
June	Aug.	Midwest	Market Value of Stocks	\$ 734,920	\$ 733,055
June	Aug.	Midwest	Share Volume of Stocks	19,212	19,161
June	Aug.	Midwest	Market Value of Bonds	\$ 39	\$ 1,903
June	Aug.	Midwest	Principal Value of Bonds	\$ 39	\$ 89
June	Aug.	Midwest	Total Market Value	No Change	
Dec.	Feb.	P-B-W	Market Value of Stocks	\$ 426,706	\$ 430,984
Dec.	Feb.	P-B-W	Share Volume of Stocks	11,275	11,360
Dec.	Feb.	P-B-W	Total Market Value	\$ 427,527	\$ 431,805

BLOCK DISTRIBUTIONS OF STOCKS^{1/}

THREE MONTHS ENDED SEPTEMBER 30, 1972 (3rd Quarter)

	Distribution		Number of Shares		Offering Price Per Share (Dollars)	Value of Shares Sold (Dollars)	Type of Vendor
	Began	Ended	In Original Offer	Sold			
EXCHANGE DISTRIBUTIONS							
NEW YORK STOCK EXCHANGE							
Briggs & Stratton Corp.	7-5	7-5	69,900	64,900	46.375	3,009,738	Trust
Cavanagh Communities	9-15	9-18	116,400	109,900	7.250	796,775	Company
AMERICAN STOCK EXCHANGE							
L. S. Good & Co.	8-1	8-1	75,000	75,000	13.375	1,003,125	Various
Serven Carpets	9-15	9-15	38,400	38,400	16.606	637,670	Investment Company
MIDWEST STOCK EXCHANGE							
Public Service of Indiana	6-6	6-6	1,000	1,000	34.000	34,000	Investment Company
Standard of America Financial	9-21	9-25	4,800	4,800	6.625	31,800	Broker-Dealer
TOTAL EXCHANGE DISTRIBUTIONS			305,500	294,000		5,513,108	
SECONDARY DISTRIBUTIONS							
NEW YORK STOCK EXCHANGE							
* White Motor Corp.	6-8	6-12	33,200	33,200	19.250	639,100	Various
Nalco Chemical Co.	6-16	6-20	252,400	224,350	56.500	12,675,775	Pension Fund
Shapell Industries, Inc.	6-21	6-27	750,000	816,200	26.500	21,629,300	Various
* Taft Broadcasting Co.	6-27	6-28	236,328	236,328	45.500	10,843,924	Various
* C. R. Bard, Inc.	6-27	7-5	175,000	175,000	37.750	6,606,250	Various
Salant Corp.	6-28	6-30	150,000	161,775	18.000	2,911,950	Various
Federal Mogul Corp.	7-10	7-10	140,000	148,344	31.375	4,654,293	Investment Company
Clorox Company	7-11	7-12	200,000	207,900	78.000	16,216,200	University & Trust
UTC Industries, Inc.	7-24	7-26	2,394,953	2,529,453	25.625	64,817,233	Corporation
Zurn Industries, Inc.	7-26	7-26	141,000	154,037	21.625	3,340,133	Individuals
Avon Products, Inc.	7-26	7-27	1,081,000	1,110,145	117.000	129,886,965	Directors, Officers & Foundation
American Water Works	7-31	7-31	221,600	221,600	11.875	2,631,500	Company
R. R. Donnelley & Sons Co.	8-1	8-3	529,468	590,868	20.750	12,260,511	Various
American Sterilizer Co.	8-2	8-3	78,750	81,970	35.970	2,930,428	Individuals
Pacific Southwest Airlines Co., Inc.	8-7	8-8	150,000	156,000	22.000	3,443,000	Various
Jose. Schlitz Brewing Co.	8-10	8-11	596,000	607,000	55.625	33,764,375	Various
Browning-Ferris Industries, Inc.	8-10	8-11	990,000	990,000	31.750	31,432,500	Various
General Cigar Co., Inc.	8-30	8-31	50,000	50,850	19.000	966,150	Investment Company
Whirlpool Corp.	8-30	9-5	1,150,000	1,229,300	33.125	40,720,563	Various
Merrill Lynch, Pierce, Fenner & Smith Inc.	9-13	9-14	1,133,700	1,262,750	27.500	34,725,625	Various
Owens Illinois, Inc.	9-19	9-20	380,300	446,985	43.500	19,443,848	Investment Company
Carolina Freight Carriers	9-19	9-20	84,700	70,560	13.250	934,920	Investment Company
Hewlett-Packard Co.	9-19	9-21	297,000	317,750	67.875	21,567,281	Various
Continental Investment	9-20	9-21	19,300	22,300	13.250	295,475	Company
Howard Johnson Co.	9-20	9-25	1,150,000	1,250,650	24.625	30,797,256	Officers & Individuals
Smith Kline & French Laboratories	9-21	9-26	600,000	665,930	58.500	38,956,905	Estate
AMERICAN STOCK EXCHANGE							
WTC Air Freight	7-6	7-11	107,200	84,125	14.125	1,188,266	Individuals & Company
Franklin Mint Corp.	7-10	7-12	100,000	103,950	45.000	4,677,750	Various
Viking General Corp.	7-28	7-28	25,000	25,000	18.000	450,000	Various
* Shaw Industries, Inc.	8-4	8-4	30,000	32,000		896,000	Various
* Security Mortgage Investors	8-9	8-9	153,000	153,000	14.375	2,228,125	Various
The Circle K Corp.	8-15	8-16	56,564	59,964	37.250	2,233,659	Insurance Company
* Starndent Corp.	8-15	8-16	175,000	175,000	16.375	2,865,625	Insurance Company
* Pier 1 Imports, Inc.	8-15	8-22	357,962	357,962	26.500	9,485,993	Various
Vintage Enterprises, Inc.	8-15	8-21	175,000	175,000	22.000	3,850,000	Various
Mirco Aluminum Co.	8-15	8-21	223,770	237,195	38.125	9,043,059	Individuals & Company
American Recreation Group, Inc.	8-17	8-22	400,000	400,000	16.625	6,650,000	Various
Weinman Co., Inc.	8-24	8-24	150,788	160,800	6.500	1,045,200	Various
Statham Instruments, Inc.	8-24	8-28	90,000	90,000	25.000	2,250,000	Officers & Directors
Vynn Oil Co.	8-29	8-29	247,750	247,750	28.250	6,998,938	Various
A. T. Cross Co.	8-29	9-5	400,000	431,400	59.500	25,668,900	Various
Mortgage Growth Investors	8-30	9-19	264,874	295,800	14.500	4,289,100	Various
C. H. Nashland & Sons	9-14	9-15	69,300	71,400	13.625	972,825	Investment Company
Hillenbrand Industries, Inc.	9-19	9-21	276,598	301,100	55.625	16,748,688	Various
The Ruffman Manufacturing Co.	9-20	9-25	43,000	43,000	19.750	849,250	Various
Russell Mills, Inc.	9-21	9-26	200,000	211,955	12.750	2,702,426	Various
Bud Ragan, Inc.	9-26	9-27	70,000	86,140	24.500	2,110,430	Individuals, Officers & Directors
National Semiconductor Corp.	9-28	9-29	32,000	32,000	35.000	1,120,000	Trustees, Officers & Directors
TOTAL SECONDARY DISTRIBUTIONS			3,649,806	3,776,541		108,323,634	
TOTAL BLOCK DISTRIBUTIONS			16,922,005	17,834,706		662,928,202	
Elit Lilly & Co. 2/ Knight Newspapers, Inc. 1/	5-23 4-25	5-24 4-28	3,000,000 870,000	3,009,547 915,900	63.750 41.125	191,858,621 37,666,388	Estate Company

See Explanatory Notes, Page 14

^{1/} Common or capital unless otherwise indicated.
^{2/} Part of 2nd Quarter, 1972 NYSE Secondary Block Distribution

EXPLANATORY NOTES

Block Distributions

Rule 10b-2 under the Securities Exchange Act of 1934, in substance, prohibits any person participating or interested in the distribution of a security from paying any other person for soliciting or inducing a third person to buy the security on a national securities exchange. This rule is an antimanipulative rule adopted under Section 10(b) of the Act which makes it unlawful for any person to use any manipulative device or contrivance in contravention of Commission rules prescribed in the public interest or for protection of investors. Paragraph (d) of the rule provides an exemption from its prohibitions where compensation is paid pursuant to the terms of a plan, filed by a national securities exchange and declared effective by the Commission, authorizing the payment of such compensation in connection with the distribution. At the present time two types of plans are in effect to permit a block of securities to be distributed through the facilities of a national securities exchange when it has been determined that the regular market on the floor of the exchange cannot absorb the particular block within a reasonable time and at a reasonable price or prices. These plans have been designated the "Special Offering Plan," essentially a fixed price offering based on the market price, and "Exchange Distribution Plan," which is a distribution "at the market." Both plans contemplate that orders will be solicited off the floor but executed on the floor. Each of such plans contains certain antimanipulative controls and requires specific disclosures concerning the distribution to be made to prospective purchasers.

Since 1942 "Special Offerings" have been permitted on the American, Boston, Cincinnati, Detroit, Midwest, New York, Pacific Coast (formerly the Los Angeles and San Francisco Stock Exchanges), and Philadelphia-Baltimore-Washington Stock Exchanges under plans submitted by these exchanges and declared effective by the Commission.

"Exchange Distributions" are permitted on the American, Midwest, New York and Pacific Coast Stock Exchanges. The plans which are in effect are similar to that plan which initially became operative on the New York Stock Exchange in 1953.

In addition to these two methods of distributing large blocks of securities on stock exchanges, a third method is commonly employed whereby blocks of listed securities may be distributed to the public over-the-counter. This method, known as a "Secondary Distribution," takes place when it has been determined that it would not be possible or in the best interest of the various parties involved to sell the shares on the exchange in the regular way or by employing either the "Special Offering" or "Exchange Distribution" technique. "Secondary Distributions" generally take place after the close of exchange trading at a price which usually is at or about the most recent price registered on the exchange. It is generally the practice of exchanges to require members to obtain the approval of the exchange before participating in a "Secondary Distribution" of an exchange issue. Included in the table are only "Secondary Distributions" of exchange issues in which the exchange has permitted the members to participate.

SECURITIES OFFERINGS
ESTIMATED GROSS PROCEEDS FROM NEW SECURITIES
OFFERED FOR CASH IN THE UNITED STATES
(AMOUNTS IN THOUSANDS OF DOLLARS)

	1971		1972		1971		1972		
	JAN	SEP	JAN	SEP	AUG	SEP ^{2/}	JUL ^{2/}	AUG ^{2/}	SEP
ALL OFFERINGS-----	79,360,380		66,720,850		9,326,110	9,454,405	6,921,264	7,249,849	5,649,576
CORPORATE-----	35,325,633		30,357,174		2,511,538	3,780,591	3,582,956	3,012,731	2,749,687
NON-CORPORATE-----	44,034,747		36,363,676		6,814,572	5,673,814	3,338,308	4,237,118	2,899,889
MANUFACTURING-----	9,229,693		5,319,297		522,993	1,141,244	574,030	559,245	615,509
EXTRACTIVE-----	997,931		1,213,660		96,733	86,950	163,192	251,206	92,844
ELECTRIC-GAS-WATER-----	8,711,344		8,397,067		852,985	1,084,900	797,724	635,195	1,268,165
TRANSPORTATION-----	1,845,681		1,479,940		87,808	149,612	159,878	116,742	57,266
COMMUNICATION-----	4,765,712		3,729,570		359,127	281,447	586,352	237,153	32,640
SALES--CONSUMER-FINANCE-----	981,142		1,510,912		122,270	35,562	286,375	3,495	5,080
FIN-AND-REAL-ESTATE ^{1/} -----	5,017,313		6,112,168		316,561	685,858	861,942	819,270	227,933
COMMERCIAL AND OTHER-----	3,776,817		2,594,560		153,061	315,018	153,483	390,425	450,250
FOREIGN-CORP-INCLD-ABOVE-----	446,227		405,316		66,470	18,405	2,940	12,645	52,100
PUBLIC-----	30,313,543		22,835,935		2,094,690	3,117,906	2,896,573	2,342,775	1,770,815
REGISTERED-UNDER-1933-ACT-----	28,917,602		21,432,464		1,989,925	3,012,048	2,801,440	2,220,964	1,731,310
UNREGISTERED-----	1,395,941		1,403,471		104,765	105,858	97,133	121,811	39,505
RAILROAD-----	569,833		434,864		47,879	11,188	11,666	12,364	20,961
ISSUE-\$100,000 TO-\$500,000-----	139,910		209,802		16,350	19,561	23,510	26,047	17,591
OTHER-----	686,198		758,605		38,536	75,109	61,957	83,400	953
PRIVATE-----	5,021,390		7,594,364		416,848	662,685	684,983	669,956	978,872
US-GOVT-INCLD-GUAR-ISSUES-----	11,173,456		8,407,454		3,227,798	1,697,953	495,703	605,953	474,321
FEDERAL AGENCY-----	850,715		2,700,998		0	299,858	550,000	0	400,022
GOVT SPONSORED AGENCIES ^{2/} -----	11,607,700		6,650,200		1,500,000	1,479,000	450,000	1,685,000	250,000
STATE-AND-MUNICIPAL-----	18,345,957		17,482,070		1,849,842	2,044,464	1,783,605	1,897,965	1,701,046
FOREIGN-GOVERNMENT-----	1,389,407		517,680		24,932	120,000	0	0	0
INTERNATIONAL-----	425,000		258,700		183,400	0	0	4,400	0
NON-PROFIT-INSTITUTIONS-----	242,512		346,574		28,600	32,539	59,000	43,800	74,500

See Explanatory Notes, Page 19

^{1/} Excluding Investment Companies.

^{2/} Revised.

^{3/} All G.N.M.A. - Guaranteed securities backed by Mortgage Pools are included in Government-Sponsored Agencies.

SECURITIES OFFERINGS
NEW CORPORATE SECURITIES OFFERED FOR CASH IN THE
UNITED STATES BY TYPE OF ISSUER AND TYPE OF SECURITY
(ESTIMATED GROSS PROCEEDS IN THOUSANDS OF DOLLARS)

	1971	1972	1971		1972		SEP
	JAN — SEP	JAN — SEP	AUG	SEP ^{2/}	JUL ^{2/}	AUG ^{2/}	
TOTAL CORPORATE-----	35,333,733	30,407,474	2,511,538	3,780,591	3,582,056	3,012,731	2,749,687
BONDS-----	24,437,906	20,455,639	1,825,334	2,580,849	2,464,648	1,954,683	1,666,271
PUBLICLY OFFERED----	19,632,989	14,132,151	1,427,668	1,966,195	1,807,342	1,523,190	861,543
PRIVATELY OFFERED----	4,804,917	6,323,488	397,666	614,654	657,306	431,493	804,728
PREFERRED STOCK-----	3,153,530	2,670,394	269,759	165,434	205,534	315,876	318,012
COMMON STOCK-----	7,742,297	7,281,441	416,445	1,034,308	912,774	742,172	765,404
MANUFACTURING-----	9,229,693	5,319,297	522,993	1,141,244	574,030	559,245	615,509
BONDS-----	7,675,651	3,684,008	313,043	992,755	463,598	189,419	439,936
PREFERRED STOCK-----	250,133	281,850	110,696	35,615	245,000	23,750	23,750
COMMON STOCK-----	1,297,909	1,353,439	99,254	112,874	110,432	124,826	151,823
EXTRACTIVE-----	997,931	1,213,660	96,733	86,950	163,192	251,206	92,844
BONDS-----	165,968	315,695	9,330	2,750	29,500	122,500	26,516
PREFERRED STOCK-----	631,963	2,712	87,403	84,200	133,692	128,706	66,328
COMMON STOCK-----	8,711,344	8,397,067	852,685	1,084,900	797,724	635,195	1,268,165
ELECTRIC GAS-- WATER	5,656,396	5,031,143	690,471	587,210	454,734	451,538	669,739
BONDS-----	1,440,326	1,685,810	159,063	128,019	205,534	70,876	263,912
PREFERRED STOCK-----	1,014,622	1,680,114	3,451	369,671	137,456	112,781	334,514
COMMON STOCK-----	1,845,681	1,479,940	87,808	149,612	159,878	116,742	57,266
BONDS-----	1,434,282	1,339,766	75,333	120,450	130,327	114,942	57,266
PREFERRED STOCK-----	6,749	140,174	12,475	29,152	29,551	1,900	
COMMON STOCK-----	4,765,712	3,779,570	359,127	281,447	586,352	237,153	32,640
BONDS-----	3,219,925	2,704,806	358,852	231,205	389,975	237,153	31,940
PREFERRED STOCK-----	1,395,000	652,940	275	50,242	196,377		700
COMMON STOCK-----	981,142	1,510,912	122,270	35,562	286,375	3,495	5,080
SALES--CONSUMER-FIN	966,306	1,505,917	122,270	35,562	286,375	2,000	4,580
BONDS-----	200	4,995				1,495	500
PREFERRED STOCK-----	14,636						
COMMON STOCK-----	5,017,913	6,112,168	316,561	685,858	861,942	919,270	227,933
OTHER FIN AND REAL ESTATE 1/-----	3,706,158	4,510,233	190,526	506,592	662,304	660,241	161,890
BONDS-----	44,081	36,225		1,800			30,000
PREFERRED STOCK-----	1,267,674	1,565,710	126,035	177,466	199,638	159,029	36,043
COMMON STOCK-----	3,784,317	2,594,860	153,061	315,018	153,463	390,425	450,250
COMMERCIAL AND OTHER	1,013,220	1,364,071	65,509	104,325	47,835	176,890	274,404
BONDS-----	11,041	10,857					350
PREFERRED STOCK-----	2,160,056	1,219,932	97,552	210,693	105,628	213,535	175,496
COMMON STOCK-----	2,783,870	1,868,789	159,870	293,150	449,980	183,238	204,525
CONV BOND ISSUES INCLUDED ABOVE-----							

See Explanatory Notes, Page 19

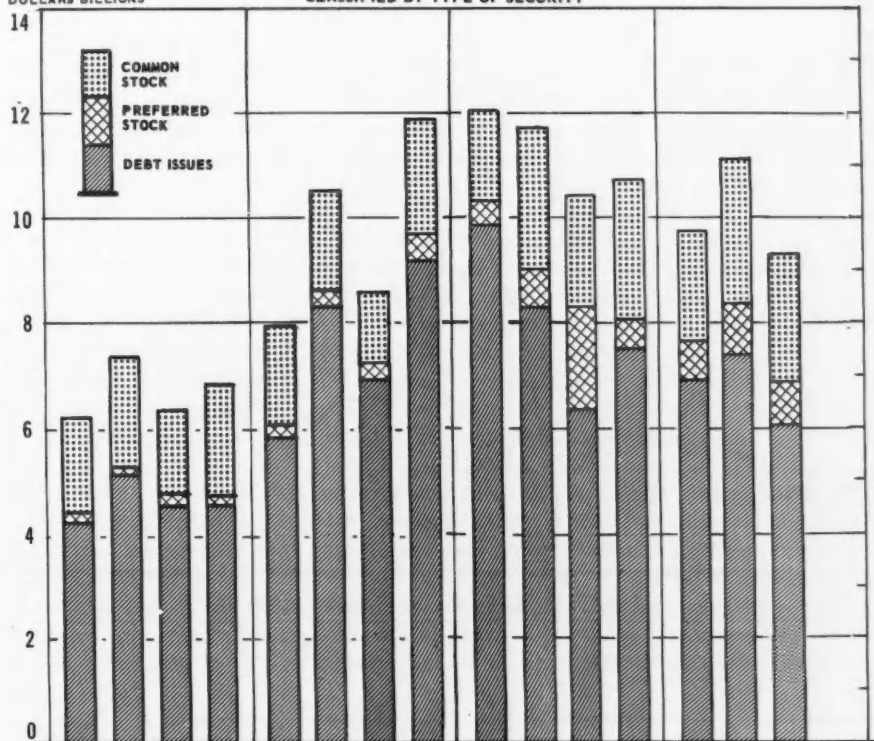
1/ Excluding investment companies.

2/ Revised.

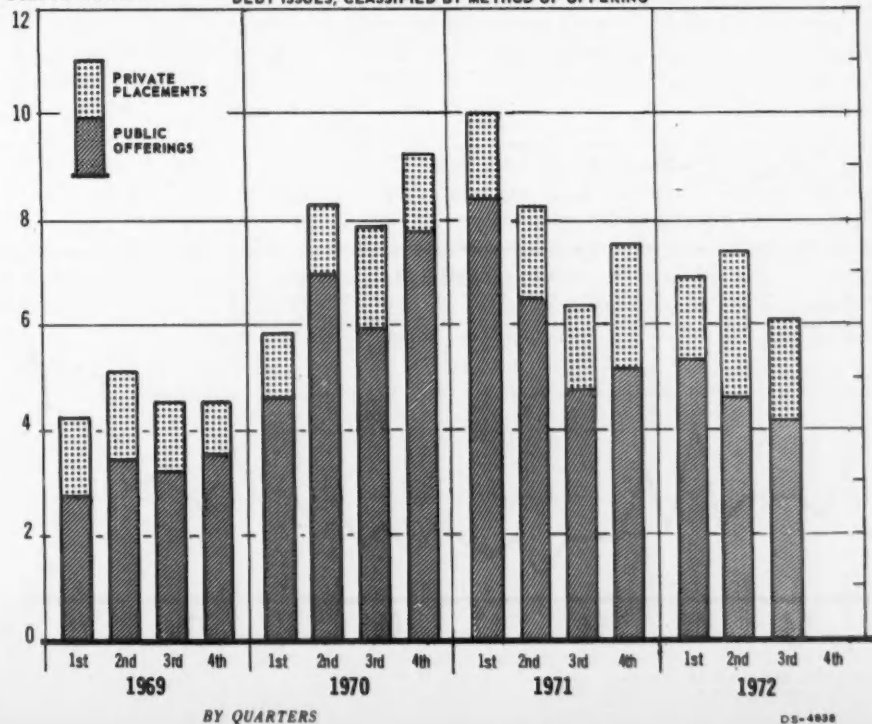
NEW CORPORATE SECURITIES OFFERED FOR CASH IN THE UNITED STATES

GROSS PROCEEDS
DOLLARS BILLIONS

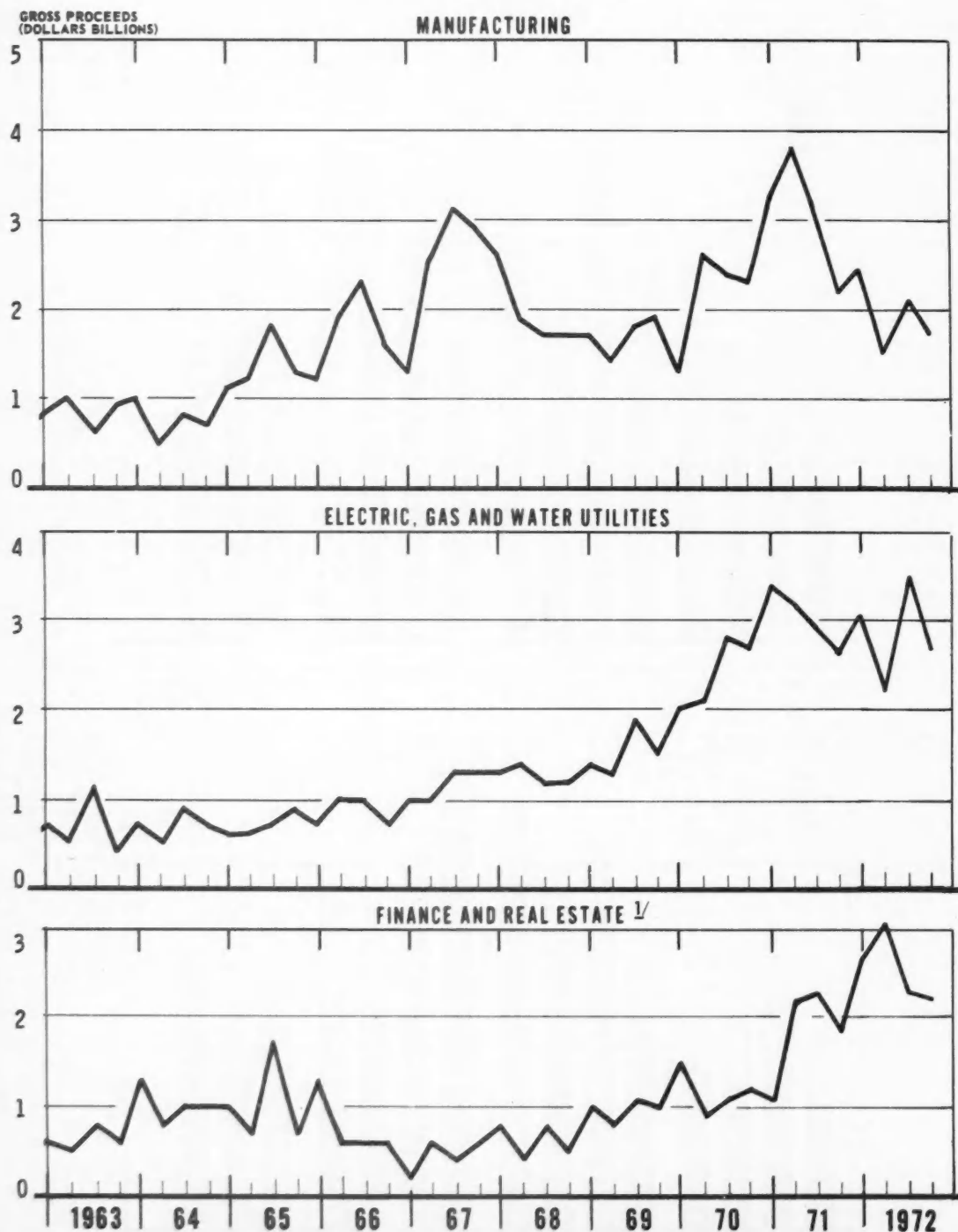
CLASSIFIED BY TYPE OF SECURITY

GROSS PROCEEDS
DOLLARS BILLIONS

DEBT ISSUES, CLASSIFIED BY METHOD OF OFFERING



NEW CORPORATE SECURITIES OFFERED FOR CASH SALE BY INDUSTRY OF ISSUER (1963-1972 by Quarters)



^{1/} Includes sales and consumer finance.

EXPLANATORY NOTES

Securities Offerings

The data in these tables cover substantially all new issues of securities offered for cash sale in the United States in amounts over \$100,000 and with terms to maturity of more than one year. In addition to publicly-offered issues which are registered under the Securities Act of 1933, the series includes privately-placed issues and other securities exempt from registration. Excluded from the data on new cash offerings are: notes issued exclusively to commercial banks; intercorporate transactions; secondary distributions; issues of investment companies; and United States Government "Special Series" issues and others sales directly to Federal agencies and trust accounts. The data on publicly-offered issues include a small amount of unsold securities, chiefly nonunderwritten issues of small companies. The figures on privately-placed issues include securities actually issued but exclude those which institutions had contracted to purchase but which were not taken down during the period covered by the statistics. Issues classified as privately-placed are issues sold to a restricted number of investors without public offerings. Issues sold by competitive bidding directly to investors are treated as publicly-offered. Monthly and annual data on corporate security offerings back to 1934 may be obtained on request.

Registered offerings as shown in these "Securities Offerings" tables differ in several respects from data included in the tables covering "Effective Registrations" which appear monthly in the Bulletin. The more important differences are: (1) only securities offered for cash sale for account of issuers are included under "Securities Offerings"; (2) sales of investment company issues, as noted above, are excluded; (3) issues to be sold over an extended period, such as offerings under employee-purchase plans, are excluded; and (4) data are entered in the offerings statistics as of the date of public offering which is not always the same as the date of effective registration.

Among the issues exempt from registration under the Securities Act of 1933 but included in these offerings statistics are: issues privately placed; intrastate offerings; securities of rail-road companies; issues of Federal, State, and local governments; issues of Federal agencies, including participation certificates; issues of international banks; issues of banks and eleemosynary institutions; and issues between \$100,000 and \$300,000 in size offered pursuant to amendment of Regulation A of the Securities Act of 1933.

These tables are based on material filed with the Commission in connection with the various Acts administered and questionnaires received from companies issuing securities without registration under the Securities Act of 1933. Notices of offering are obtained from the financial press, financial manuals, periodicals, and special reports from leading life insurance companies, as well as from material filed with the Commission. Current data for offerings of state and municipal securities are the compilations of The Bond Buyer; unlike other data presented here, these represent principal amounts instead of gross proceeds. All figures are subject to revision as new data are received.

SECURITIES OFFERINGS
NEW CONVERTIBLE BONDS OFFERED FOR CASH
IN THE UNITED STATES
\$ MILLIONS

YEAR AND MONTH	TOTAL	PUBLICLY OFFERED	PRIVATELY PLACED	MFG	EXT	F.G./M	TRANSP	COMM	SALES / CONSUMER FINANCE	F/R/E	C AND D
1956	925	763	163	713	19	63	47	51	0	18	13
1957	1064	995	69	679	33	232	7	49	0	6	58
1958	1147	1071	77	291	14	43	40	721	0	11	27
1959	626	536	92	242	34	104	114	0	0	31	102
1960	462	356	105	180	27	45	39	12	0	71	87
1961	710	625	84	445	5	31	35	4	0	62	127
1962	445	346	99	273	25	4	1	5	0	58	79
1963	357	234	122	203	14	0	68	11	4	11	46
1964	425	366	59	207	15	0	79	10	0	77	34
1965	1264	1161	83	546	7	79	129	3	0	355	144
1966	1872	1764	109	1097	5	81	496	62	6	28	94
1967	4475	4106	367	2704	123	45	610	23	23	77	816
1968	3281	2662	619	1353	148	71	231	153	28	570	727
1969	4041	3095	942	1659	195	23	451	73	4	773	860
1970	2656	2264	392	880	152	123	191	127	27	702	452
1971	3644	3462	181	1351	7	59	24	2	1	1402	798
1970 JAN	387	332	55	34	0	41	160	3	0	43	106
FEB	249	219	30	102	8	2	0	12	0	104	28
MAR	286	249	36	104	0	0	0	12	10	81	78
APR	398	327	71	145	6	0	12	0	0	96	140
MAY	230	171	59	160	12	29	4	7	0	8	11
JUN	82	54	28	20	0	0	0	2	8	43	9
JUL	107	83	24	4	10	50	0	0	0	27	15
AUG	55	42	17	10	0	0	0	0	0	16	32
SEP	121	96	25	18	0	0	0	RA	0	2	15
OCT	386	376	10	207	5	0	0	5	0	167	7
NOV	164	163	21	23	124	2	0	0	3	31	2
DEC	165	150	16	53	0	0	15	0	4	83	9
1971 JAN	100	77	23	42	0	*	0	0	1	51	6
FEB	170	146	24	113	0	8	0	2	0	36	11
MAR	565	550	15	356	0	50	0	0	0	104	55
APR	393	376	16	137	*	0	0	0	0	123	132
MAY	253	243	10	18	1	0	0	0	0	200	34
JUN	723	706	17	107	*	0	0	0	0	225	391
JUL	207	197	10	47	0	0	10	*	0	97	53
AUG	160	157	3	61	1	0	0	0	0	98	0
SEP	293	274	19	215	0	0	14	0	0	52	12
OCT	229	208	23	46	1	0	0	0	0	109	71
NOV	396	392	4	190	*	0	8	0	0	204	2
DEC	159	138	17	17	4	0	0	0	0	103	31
1972 JAN	260	244	16	43	30	0	65	0	0	110	12
FEB	77	73	4	7	0	0	0	0	0	68	1
MAR	234	229	5	104	6	0	0	0	0	98	26
APR	100	74	27	76	0	0	0	0	0	19	5
MAY	240	203	27	116	2	0	14	0	0	66	33
JUN	130	121	9	22	0	2	0	0	0	28	78
JUL	450	433	17	71	0	0	8	126	0	252	2
AUG	183	179	4	13	98	0	0	0	0	3	70
SEP	205	181	23	65	1	0	0	0	0	31	108

See Explanatory Notes, Page 21

EXPLANATORY NOTES

New Convertible Bonds Offered for Cash in the United States

The data in these tables cover substantially all new issues of convertible bonds offered for cash sale in the United States in amounts over \$100,000 and with terms to maturity exceeding one year, for the years 1956 to date. Quarterly and monthly data are provided to the extent available. These issues are included in the new corporate securities series published monthly in this bulletin.

In addition to publicly-offered registered debentures, the series includes privately-placed convertible notes and other issues exempt from registration under the Securities Act of 1933. Among the issues exempt from registration but included in this series are: intra-state offerings; issues of railroad companies; securities of banks; and small issues offered pursuant to Regulation A of the Securities Act. Excluded from these data are intercorporate transactions, secondary distributions and privately-placed issues contracted for but not taken down during the period covered by the statistics.

These tables are based on materials filed with the Commission in connection with the administration of regulatory authority and on confidential data received from individual companies. The dates of all offerings and placements of issues are obtained from financial periodicals, the daily press, and reports from the major financial institutions, as well as from material on file in the Commission. The dollar amounts shown represent gross proceeds and are subject to minor revisions.

EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933

(Amounts in Thousands of Dollars)

	1971	1972	1971		1972	
	January-September	January-September	September	August	September	
EFFECTIVE REGISTRATIONS	2,480	2,709	303	274		231
TOTAL DOLLAR AMOUNT	56,666,126	47,826,380	4,986,021	4,232,987		3,366,894

	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount
PRIMARY CORPORATE OFFERINGS	1,263	28,224,042	1,360	21,704,455	169	2,965,563	147	2,151,653	107	1,837,140
Bonds, notes and debentures	414	18,501,097	330	13,049,403	47	1,900,237	21	1,400,188	24	840,279
Preferred stock	64	3,049,031	60	1,667,287	6	128,019	4	95,876	7	261,412
Common stock	626	5,247,512	786	5,332,955	96	755,564	94	462,132	60	665,291
Unseasoned	313	852,841	487	1,338,127	45	183,700	53	149,039	34	107,442
Seasoned	313	4,394,671	299	3,994,828	51	571,864	41	313,093	26	553,949
Other Equity Securities	159	1,426,402	184	1,554,810	20	181,743	28	193,457	16	89,153
SECONDARY OFFERINGS	724	4,473,088	866	5,598,505	99	414,285	99	640,861	70	392,788
Cash sale	363	2,423,610	493	3,984,352	53	237,948	54	365,902	35	264,332
Other than cash sale	361	2,049,478	373	1,614,153	46	176,337	45	274,959	34	128,406

	Amount				
FOR EXTENDED CASH SALE AND OTHER ISSUES	14,705,988	14,705,597	1,043,376	915,312	660,359
Investment company issues	9,040,849	9,266,351	745,991	450,606	443,100
Employee savings and thrift plans	1,125,794	2,094,586	68,161	237,892	89,236
Employee stock option plans	3,179,794	2,933,020	202,533	222,894	89,615
Other employee plans	1,359,551	411,640	26,691	3,920	36,408
FOREIGN GOVERNMENT	1,389,407	329,180	120,000	0	0
OTHER NON CASH ISSUES	7,873,601	5,488,643	442,797	525,161	456,607
Exchange transactions	1,139,562	949,102	16,675	97,869	156,300
Reserved for conversion	4,273,353	1,983,319	216,605	249,119	198,540
Warrants and options	1,543,379	1,214,792	87,215	108,268	16,692
Other	-917,307	1,341,430	122,302	69,905	85,075

^{1/} The amounts covered in this table differ from totals for corporate securities offerings under the heading "Registered under the 1933 Act" shown in a preceding table chiefly because of differences in timing between effective registration dates and offering dates.

See Explanatory Notes, Page 23

EXPLANATORY NOTES

Registrations

Data cover all registrations which became effective under the Securities Act of 1933 during the period covered. Issues are included as of the effective date, with the exception of those subject to post-effective amendment to report terms of offering. These are included as of the date of the post-effective amendment.

The classification primary corporate offerings refer to registered offerings for immediate cash sale where the proceeds of the offering are at the disposal of the issuing corporations. Secondary offerings are registrations of outstanding securities where the proceeds of the issue do not accrue to the issuing company. The dollar amount for both type offerings is based generally on the initial offering price. The classification unseasoned common stock includes initial offerings by companies registering common stock for the first time under the Securities Act of 1933, whereas seasoned common stock offerings are registrations by companies previously having securities registered under the 1933 Act. Other equity securities classification consists of offerings of certificates of participation or beneficial interests, warrants or options, voting trust certificates and other equity securities.

Issues to be offered for extended cash sale include investment company issues, and all offerings of employee savings and stock option plans. The classification foreign government is shown separately, whereas registrations by foreign corporations are included in with corporate offerings. The category investment company issues includes securities of management open-end, management closed-end, face amount and unit type companies.

Issues registered for other noncash purposes such as exchange transactions, securities given for property or services, or reserved for conversion are generally valued at the current market prices.

In order to eliminate double counting, the number of issues are shown for primary and secondary offerings only. However, effective registrations cover all cash offerings, noncash offerings, and offerings for extended cash sale.

Dollar amounts have been rounded and will not add to totals.

PUBLICATION SCHEDULE OF SERIES APPEARING REGULARLY IN THE BULLETIN

NAME OF SERIES	PERIOD OF ISSUE
ROUND-LOT AND ODD-LOT STOCK TRANSACTIONS ON THE NEW YORK AND AMERICAN STOCK EXCHANGES.	MONTHLY
ODD-LOT TRANSACTIONS ON THE NEW YORK STOCK EXCHANGE IN 100 SELECTED STOCKS	MONTHLY
ODD-LOT TRANSACTIONS ON THE NEW YORK STOCK EXCHANGE	MONTHLY
ROUND-LOT TRANSACTIONS ON THE NEW YORK STOCK EXCHANGE	MONTHLY
MARKET VALUE AND VOLUME OF SALES ON REGISTERED AND EXEMPTED SECURITIES EXCHANGES	MONTHLY
SECURITIES REGISTERED UNDER THE SECURITIES ACT OF 1933	MONTHLY
NEW SECURITIES OFFERINGS	MONTHLY
BLOCK DISTRIBUTIONS OF EXCHANGE STOCKS	FEB. MAY AUG. NOV.
OVER-THE-COUNTER VOLUME IN EXCHANGE STOCKS	MAR. JUNE SEPT. DEC.
NET CHANGE IN CORPORATE SECURITIES OUTSTANDING	MAR. JUNE SEPT. DEC.
WORKING CAPITAL OF U. S. CORPORATIONS	JAN. APR. JULY OCT.
ASSETS OF NONINSURED PENSION FUNDS	APR. JUNE SEPT. DEC.
STOCK TRANSACTIONS OF FINANCIAL INSTITUTIONS	APR. JUNE SEPT. DEC.
ANNUAL SURVEY OF PRIVATE NONINSURED PENSION FUNDS	JUNE
ANNUAL SUMMARY OF ROUND-LOT AND ODD-LOT STOCK TRANSACTIONS ON THE NEW YORK AND AMERICAN STOCK EXCHANGES	FEB.
ANNUAL SUMMARY OF MARKET VALUE AND VOLUME OF SALES ON REGISTERED AND EXEMPTED SECURITIES EXCHANGES	FEB.

NOTE: In addition to the above the Commission also issues a release each week covering the Round-Lot and Odd-Lot Stock Transactions on the New York and American Stock Exchanges.



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